



DEPARTMENT OF ENERGY
Federal Energy Regulatory Commission

[Docket Nos. RM16-17-001

3 Phases Renewables Inc.	ER16-775-000
3C Solar LLC	ER11-2649-000
3Degrees Group, Inc.	ER10-269-000
3PR Trading, Inc.	ER20-1477-000
ABC Energy, LLC	ER13-2260-000
ADG Group Inc.	ER17-1151-000
American Illuminating Company, LLC	ER12-2600-000
Anahau Energy, LLC	ER13-415-000
Apple Group	ER07-1287-001
Archer Energy, LLC	ER17-1594-002
Ashley Energy LLC	ER17-923-001
Backyard Farms Energy LLC	ER09-1689-000
Baltimore Power Company LLC	ER15-2693-002
Berry Petroleum Company, LLC	ER12-2233-000
BioUrja Power, LLC	ER16-371-000
BITH Energy, Inc.	ER13-48-000
BITH Solar 1, LLC	ER13-29-000
Blue Cube Operations LLC	ER15-1687-001
Bolt Energy, LLC	ER19-1826-001
Brantley Farm Solar, LLC	ER18-1977-001
Bridgeport Fuel Cell, LLC	ER13-1403-000
Buckleberry Solar, LLC	ER18-2217-000
Burgess Capital LLC	ER15-2541-000
Capacity Markets Partners, LLC	ER14-407-001
Cargill Power Markets, LLC	ER97-4273-000
Carson Hybrid Energy Storage LLC	ER19-288-000
Central Hudson Gas & Electric Corporation	ER97-2872-000
Centre Lane Trading Ltd.	ER10-636-000
Choctaw Generation Limited Partnership	ER98-3774-000
Cirrus Wind 1, LLC	ER13-357-001
Clear Power LLC	ER20-2654-000
Clearview Electric, Inc.	ER17-808-001
Conch Energy Trading, LLC	ER12-1472-000
Consolidated Power Co., LLC	ER14-1858-000
Covanta Maine, LLC	ER09-560-000
Current Power & Gas Inc.	ER16-722-000
Degrees3 Transportation Solutions, LLC	ER21-251-001
Dichotomy Power Maine, LLC	ER21-2535-000
Dillon Power, LLC	ER15-1810-000
Domtar A.W. LLC	ER11-2021-001
Domtar Paper Company, LLC	ER11-2020-000

EBRFUEL, LLC	ER13-797-000
El Paso Marketing Company, L.L.C.	ER95-428-000
Electron Hydro, LLC	ER13-1646-001
Elektrisola, Inc.	ER10-2891-000
Elevation Energy Group, LLC	ER17-21-000
Energy Cooperative of New York, Inc	ER99-3411-000
Energy Exchange Direct, LLC	ER08-425-000
Energy Exchange International, LLC	ER11-2730-000
EnPowered	ER18-155-000
Entergy Arkansas, Inc., Entergy Mississippi, Inc., and Entergy New Orleans, Inc.	ER91-569-000
EONY Generation Limited	ER00-136-000
ExxonMobil Baton Rouge Complex	ER06-771-000
ExxonMobil Beaumont Complex	ER06-772-000
ExxonMobil LaBarge Shute Creek Treating Facility	ER06-773-000
Falcon Energy, LLC	ER09-1075-000
FC Energy Services Company, LLC	ER07-1247-002
FOREST INVESTMENT GROUP, LLC	ER05-1079-000
Fox Creek Farm Solar, LLC	ER18-2194-001
Fred Meyer Stores, Inc.	ER11-3615-000
Full Circle Renewables, LLC	ER11-4536-000
Garland Power Company	ER10-2954-000
GBC Metals LLC	ER11-2825-000
Gichi Noodin Wind Farm, LLC	ER20-2087-000
Global Energy, LLC	ER12-346-000
Griffiss Utility Services Corporation	ER11-4672-000
GUSC Energy Inc.	ER12-2203-001
Hammond Belgrade Energy, LLC	ER10-2890-000
Hawkeye Energy Greenport, LLC	ER03-833-000
Helvetia Solar, LLC	ER12-2405-001
High Liner Foods Incorporated	ER12-795-001
High Lonesome Mesa, LLC	ER09-712-000
Hill Energy Resource & Services, LLC	ER12-1613-001
Holcim (US) Inc.	ER11-3053-001
Hoopeston Wind, LLC	ER14-2956-004
ICC Energy Corporation	ER11-4489-000
IEP Power Marketing LLC	ER06-1007-000
Industrial Assets, Inc.	ER18-1289-000
Innovative Solar 54, LLC	ER19-117-001
Innovative Solar 67, LLC	ER19-118-001
KEPCO Solar of Alamosa LLC	ER11-4050-000
Kingfisher Wind, LLC	ER15-1308-000
Kiyoshi Technologies, LLC	ER15-1609-000
Kleantricity, Inc.	ER12-1524-000
KODA Energy, LLC	ER09-107-000
Lazarus Energy Holdings, LLC	ER08-848-000
LE Energy, LLC	ER16-1788-002
	ER21-1768-000

Light Power & Gas LLC	ER11-3589-000
Long Island Solar Farm, LLC	ER15-2470-000
Longreach Energy, LLC	ER05-744-000
Major Lending, LLC	ER18-1549-000
Manifold Energy Inc.	ER19-610-000
Marengo Battery Storage, LLC	ER08-23-001
Massie Power LLC	ER13-1298-001
Mega Energy Holdings LLC	ER16-1254-002
MMP SCO, LLC	ER11-4603-000
Monterey Consulting Associates, Inc.	ER15-612-000
Moore Energy, LLC	ER13-1249-002
Myotis Power Marketing LLC	ER06-1055-000
Nevada Gold Energy LLC	ER10-2754-000
New England Wire Technologies, Corp.	ER06-1286-000
New Hope Power Partnership	ER05-1225-000
New York Industrial Energy Buyers, LLC	ER10-904-000
NFI Solar, LLC	ER03-293-000
North Branch Resources, LLC	ER13-1665-000
Novo BioPower, LLC	ER19-302-001
NTE Southeast Electric Company, LLC	ER18-3-000
Nylon Corporation of America	ER03-821-000
One Nation Energy Solutions, LLC	ER19-178-001
PACE RENEWABLE ENERGY 1 LLC	ER12-1603-001
PGPV, LLC	ER18-296-000
Phibro Americas LLC	ER13-1135-001
Piedmont Energy Fund, LP	ER11-2168-000
Planet Energy (Maryland) Corp.	ER11-2179-000
Planet Energy (New York) Corp.	ER11-2167-000
Planet Energy (Pennsylvania) Corp.	ER11-2166-000
Planet Energy (USA) Corp.	ER10-812-000
Power Choice Inc.	ER19-1405-000
Precept Power LLC	ER17-204-001
Quantum Power Corp	ER08-631-000
Raider Dog LLC	ER16-895-002
RDAF Energy Solutions, LLC	ER01-3109-000
Renaissance Power, L.L.C.	ER14-1135-000
Renewable Power Direct, LLC	ER12-1751-000
Renewable Power Strategies, LLC	ER09-1739-000
ResCom Energy LLC	ER14-166-000
Rigby Energy Resources, LP	ER14-2013-000
RJUMR ENERGY PARTNERS CORP.	ER12-1244-001
RLD Resources, LLC	ER01-2830-000
Roseburg Forest Products	ER19-1240-000
Sage Solar I LLC	ER19-1241-000
Sage Solar II LLC	ER19-1242-000
Sage Solar III LLC	ER10-2750-000
Saint Anselm College	ER15-359-001
Samchully Power & Utilities 1 LLC	ER11-4453-000

Santanna Natural Gas Corporation	ER11-3187-001
SBR Energy, LLC	ER18-1548-000
Seguro Energy Partners, LLC	ER10-2951-000
Shipyard Energy, LLC	ER13-733-000
Silver Bear Power, LLC	ER16-904-001
Smith Creek Hydro, LLC	ER13-698-000
Southard Energy Partners, LLC	ER11-3186-000
Southern California Telephone Company	ER06-634-000
Spruance Genco, LLC	ER95-362-000
Stand Energy Corporation	ER13-113-002
Sunbury Energy, LLC	ER11-2354-000
Sustainable Star	ER03-1150-000
Texzon Utilities, Ltd.	ER16-1202-001
The Energy Group of America, Inc.	ER99-3571-000
The Legacy Energy Group, LLC	ER11-4604-000
Thicksten Grimm Burgum, Inc.	ER20-2618-000
Thordin ApS	ER14-1767-000
Titan Gas and Power	ER14-2597-001
Town of Hanover	ER13-1107-000
Trane Grid Services LLC	ER11-2962-001
Tropicana Manufacturing Company Inc.	ER11-3724-000
TrueLight Commodities, LLC	ER11-3723-000
TrueLight Energy, LLC	ER02-973-000
UBS AG	ER15-1630-001
US Borax, Inc.	ER16-1610-001
V3 Commodities Group, LLC	ER11-4706-001
Viridity Energy, Inc.	ER16-2307-001
Vista Energy Marketing, L.P.	ER04-937-000
Volunteer Energy Services, Inc.	ER21-908-000
Western Aeon Energy Trading LLC	ER11-3263-000
Western Reserve Energy Services, LLC	ER04-262-000
White Pine Electric Power L.L.C.	ER09-750-000
Windy Flats Partners, LLC	ER06-1273-000
Wolverine Holdings, L.P.	ER10-2345-000
Woodland Pulp LLC	ER18-624-000
Woomera Energy, LLC	ER18-2031-000
Z&Y Energy Trading LLC	ER15-820-001
Zone One Energy, LLC	

Order on Intent to Revoke Market-Based Rate Authority; Data Collection for Analytics and Surveillance and Market-Based Rate Purposes

1. Section 205 of the Federal Power Act (FPA), 16 U.S.C. 824d, and 18 CFR part 35 (2021), require, among other things, that all rates, terms, and conditions for jurisdictional services be filed with the Commission. In Order No. 697 and its progeny,¹ the

¹ *Mkt.-Based Rates for Wholesale Sales of Elec. Energy, Capacity & Ancillary Servs. by Pub. Utils.*, Order No. 697, 119 FERC ¶ 61,295 *clarified*, 121 FERC ¶ 61,260

Commission established certain requirements that sellers² must comply with in order to obtain and retain market-based rate authority.³

2. In Order No. 860,⁴ the Commission revised certain aspects of the substance and format of the ownership information sellers must submit in order to obtain or retain market-based rate authority. Specifically, Order No. 860 requires that, as part of its market-based rate application or baseline submission, a seller must identify its ultimate upstream affiliate(s) through a new relational database.⁵

3. In accordance with Order No. 860, as modified by Order No. 860-A, the Order Adopting Revisions to Information Collection,⁶ and the Notice of Extension of Time,⁷ each seller with a market-based rate tariff on file with the Commission was required to make a baseline submission to the market-based rate relational database by February 1, 2022.⁸ Commission staff's review of the baseline submissions to the market-based rate

(2007), *order on reh'g*, Order No. 697-A, 123 FERC ¶ 61,055, *clarified*, 124 FERC ¶ 61,055, *order on reh'g*, Order No. 697-B, 125 FERC ¶ 61,326 (2008), *order on reh'g*, Order No. 697-C, 127 FERC ¶ 61,284 (2009), *order on reh'g*, Order No. 697-D, 130 FERC ¶ 61,206 (2010), *aff'd sub nom. Mont. Consumer Counsel v. FERC*, 659 F.3d 910 (9th Cir. 2011).

² A "seller" is defined as any person that has authorization to or seeks authorization to engage in sales for resale of electric energy, capacity or ancillary services at market-based rates under section 205 of the FPA. 18 CFR 35.36(a)(1); 16 U.S.C. 824d. Each seller is a public utility under section 205 of the FPA. 16 U.S.C. 824.

³ Order No. 697, 119 FERC ¶ 61,295 at n.258.

⁴ *Data Collection for Analytics & Surveillance and Mkt.-Based Rate Purposes*, Order No. 860, 168 FERC ¶ 61,039 (2019), *order on reh'g*, Order No. 860-A, 170 FERC ¶ 61,129 (2020).

⁵ Order No. 860, 168 FERC ¶ 61,039 at P 121.

⁶ *Data Collection for Analytics & Surveillance and Mkt.-Based Rate Purposes*, 176 FERC ¶ 61,109 (2021) (Order Adopting Revisions to Information Collection).

⁷ *Data Collection for Analytics and Surveillance and Mkt.-Based Rate Purposes*, Notice of Extension of Time, Docket No. RM16-17-000 (Oct. 22, 2021).

⁸ A baseline submission consists of "market-based rate information," which includes (a) seller category status for each region in which the seller has market-based rate authority, (b) each market in which the seller is authorized to sell ancillary services at market-based rates, (c) mitigation, if any, and (d) whether the seller has limited the regions in which it has market-based rate authority. A baseline submission also consists of "market-based rate ownership information," which includes ultimate upstream affiliates; and affiliate owners with franchised service areas, market-based rate authority, or that directly own or control generation; transmission, intrastate natural gas transportation, storage or distribution facilities, physical coal supply sources or ownership

relational database indicates that the sellers with market-based rate authorization listed in the caption of this order failed to file their baseline submissions. This order notifies these sellers that their market-based rate authorizations will be revoked unless they comply with the Commission's requirements within 15 days of the date of issuance of this order.⁹

4. To comply with the Commission's requirements, the above-captioned sellers must file their baseline submissions to the market-based rate relational database consistent with the procedures set forth in Order Nos. 860, 860-A, and the Order Adopting Revisions to Information Collection.

5. In the event any of the above-captioned sellers have already submitted their baseline submissions in compliance with the Commission's requirements, their inclusion herein is inadvertent. Such sellers are directed to make a filing with the Commission, within 15 days of the date of issuance of this order, to identify themselves and provide details about their prior submissions to establish that they complied with the Commission's market-based rate relational database filing requirements.

6. If any of the above-captioned sellers do not wish to continue having market-based rate authority, they may file a notice of cancellation of their market-based rate tariffs with the Commission pursuant to section 205 of the FPA.

The Commission orders:

(A) Within 15 days of the date of issuance of this order, each seller listed in the caption of this order shall file with the Commission its delinquent baseline submission to the market-based rate relational database. If a seller subject to this order fails to make the filings required in this order, the Commission intends to revoke that seller's market-based rate authorization and intends to terminate its electric market-based rate tariff. The Secretary is hereby directed, upon expiration of the filing deadline in this order, to promptly issue a notice, effective on the date of issuance, listing the sellers whose tariffs have been revoked for failure to comply with the requirements of this order and the Commission's market-based rate relational database requirements.

(B) The Secretary is hereby directed to publish this order in the *Federal Register*.

Issued: September 22, 2022

of or control over who may access transportation of coal supplies, and asset appendix information. Order No. 860, 168 FERC ¶ 61,039 at P 185.

⁹ Commission staff contacted or attempted to contact the sellers to remind them of their regulatory obligations. Despite these reminders, however, the sellers listed in the caption of this order have not met these obligations.

Debbie-Anne A. Reese,
Deputy Secretary.

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